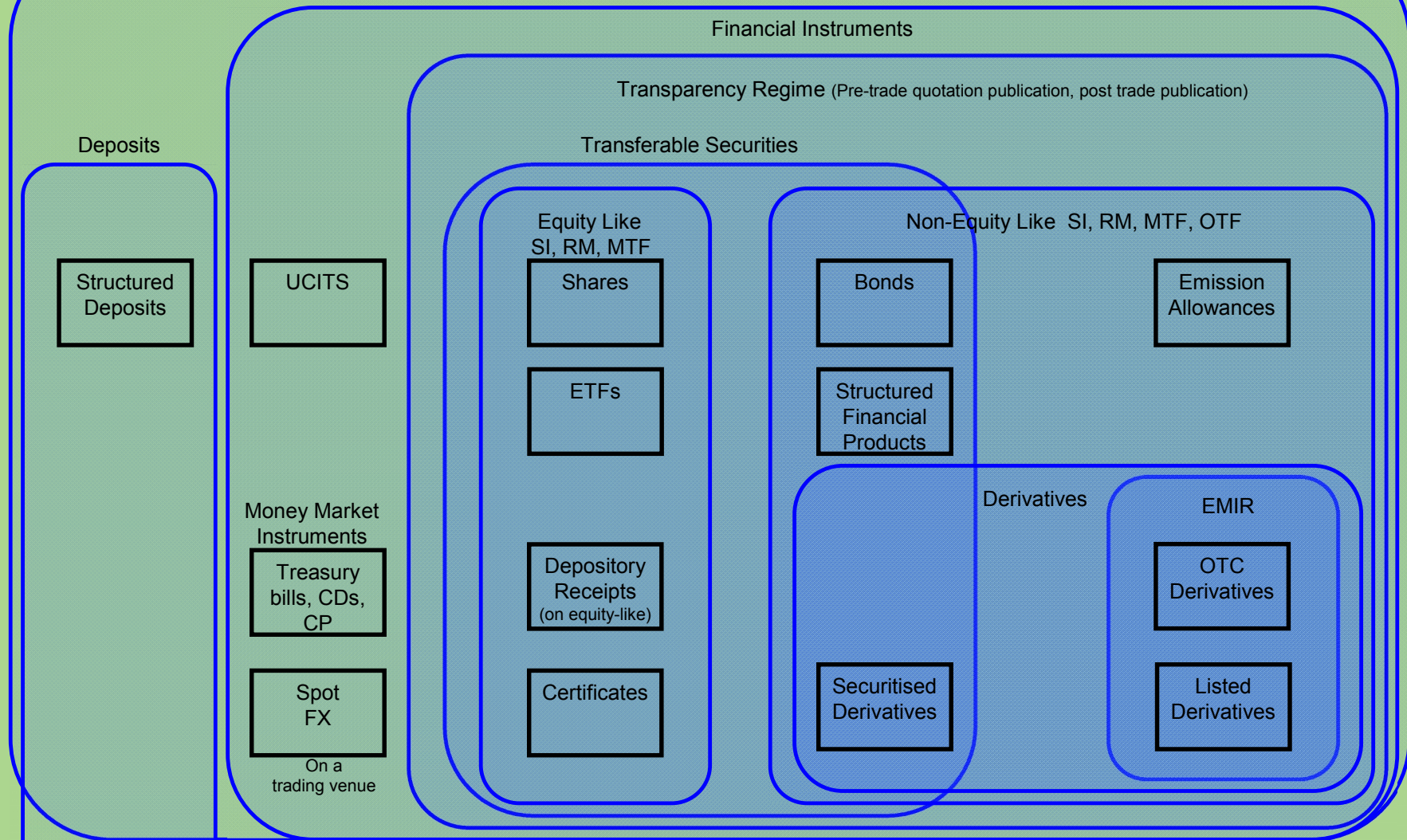


MiFID II, MiFIR, Investment Products Scope



- 1 Forward FX are OTC derivatives
- 2 Convertible bonds are treated as bonds while warrants, rights are securitised derivatives
- 3 Asset backed CP are structured financial products
- 4 MM instruments are treasury bills, CDs, CP, with an original maturity of 397 days or less and exclude instruments of payment (cheques)
- 5 Depository receipts on non-equity like instruments are non-equity financial instruments
- 6 Simple deposits are products linked to simple interest rates or indices (Libor)